INSIGHT FINANCIAL HORIZONS

**Confidential Questionnaire**

**General Information** Today’s Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Client’s Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Co-Client’s Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Birth Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Birth Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Phone: (home) \_\_\_\_\_\_\_\_\_\_\_\_ (work) \_\_\_\_\_\_\_\_\_\_\_\_\_ (cell) \_\_\_\_\_\_\_\_\_\_\_\_\_

E-mail: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Do you regularly check? \_\_\_\_\_\_\_

SS Numbers: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Marital/Relationship Status: \_\_\_\_\_\_\_\_\_\_\_\_

Family Members (children and dependents) – Please provide Names and Birthdates:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Financial Planning Priorities and Goals**

What are your three most important financial concerns or goals?

1. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

2. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

3. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Insight Financial Horizons

150A Andover St, #4-B, Danvers, MA 01923 www.wealth-insight.com 866-661-9066

Securities and Advisory Services offered through Commonwealth Financial Network, Member FINRA/SIPC, a Registered Investment Adviser. Fixed insurance products and services offered by Insight Financial Horizons

are separate and unrelated to Commonwealth.

**Employment and Income**

Employment Status: Employed/Self-Employed/Retired: (1) \_\_\_\_\_\_\_\_ (2) \_\_\_\_\_\_\_\_

Occupation: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Income: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Is income fairly uniform and reliable? \_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_

**Please list any other sources and amounts of income (rents, alimony, annuities, Social Security, interest, etc.):**

**Asset Information** *Please estimate the value of the following:*

Checking, Savings, CDs, Money Market Funds $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Retirement Accounts (IRAs, 401(k)’s, 403(b)’s, etc.) $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Stocks and Bonds $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Mutual Funds $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Your Home $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Other Real Estate $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Other Assets $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Liability Information** *Please* *estimate the value of the following:*

First Mortgage $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Second Mortgage $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Installment Loans $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Credit Cards $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Other Liabilities $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Saving for your Future**

Are you contributing on a regular basis to a retirement plan or an IRA? \_\_\_\_\_\_

If so, please indicate annual amount: $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Life Insurance**

How much life insurance do you have?

Client $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Co-Client $ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Type: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Type: \_\_\_\_\_\_\_\_\_\_\_\_

**Wills/Trusts**

Do you have a will(s)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date Signed: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Do you have a trust? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date Signed: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Other Information**

Do you know what your annual or monthly living expenses are?

Do you have access to a computer?

At what age do you hope to retire?

Have you ever been unhappy with the recommendations of a stockbroker, insurance agent, and/or financial advisor? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ If yes, please explain:

How did you learn about Insight Financial Horizons?

May we contact your other advisors (accountant, attorney)? \_\_\_\_\_\_\_\_\_\_\_\_\_

If yes, please provide contact information (name, telephone number, email):

Is there any other information you would like to provide at this time?